



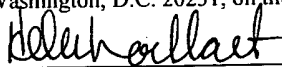
ATTORNEY'S DOCKET NO. C0989/7016(HCL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eugene Chan  
Serial No.: 09/852,968  
Filed: May 10, 2001  
For: METHODS AND PRODUCTS FOR ANALYZING POLYMERS  
Examiner: Unassigned  
Art Unit: 2155

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 13 day of November, 2001.

  
Helen C. Lockhart

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

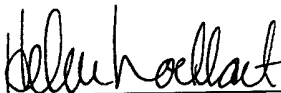
Transmitted herewith are the following document(s):

- [X] Notice to File Missing Parts of Nonprovisional Application
- [X] Declaration for Patent Application (Copy from Parent Patent Application Serial No. 09/134,411)
- [X] Preliminary Amendment
- [X] Statement Pursuant to 37 CFR 1.825(b) and 37 CFR 1.821(f)
- [X] Paper Copy of Sequence Listing
- [X] Sequence Listing on Computer Readable Disk
- [X] Three (3) Month Extension of Time
- [X] Fee Calculation Sheet (X2)
- [X] Check in the Amount of \$2,407.00
- [X] Certificate of Mailing
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$2,407.00 is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,



Helen C. Lockhart, Reg. No. 39,248  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. C0989/7016  
Date: November 13, 2001  
X11/21/01

#7

Attorney Docket No. C0989/7016(HCL)



Inventor or Identifier: Eugene Chan

Serial No: 09/852,968

Filed: May 10, 2001

For: METHODS AND PRODUCTS FOR ANALYZING  
POLYMERS

CHECK BOX, if applicable:

☐ DUPLICATE

## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	132-20=	112 x	\$18	= \$2,016.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	15-3=	12 x	\$84	= \$1,008.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$280	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
			Total of above Calculations =		\$ 3,764.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1,882.00
	Three (3) Month Extension of Time Fee				\$ 460.00
	Other Fees (Missing Parts Fee) (if any).				\$ 65.00
	TOTAL =				\$ 2,407.00

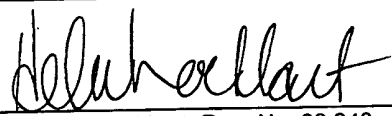
1. A check in the amount of \$ 2,407.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

01/15/2002 SMINASS1 00000048 232825 09852968  
01 FC:217 460.00 OP

Docket No. C0989/7016  
Date: November 13 2001  
X11/21/01

  
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Attorneys of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,968	05/10/2001	Eugene Chan	C0989/7016

CONFIRMATION NO. 5672

# FORMALITIES LETTER



\*OC00000006207978\*

Helen C. Lockhart, Esq.  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210

01/31/2002 NPRASASO 00000013 09852968

01 FC:202  
02 FC:203

504.00 OP  
1008.00 OP

Date Mailed: 06/21/2001

Adjustment date: 01/31/2002 NPRASASO  
01/15/2002 SMINASSI 00000048 09852968  
03 FC:203 -1260.00 OP  
04 FC:202 -546.00 OP

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/15/2002 SMINASSI 00000048 232825 09852968

FILED UNDER 37 CFR 1.53(b)

02 FC:205 -65.00 OP  
03 FC:203 -1260.00 OP  
04 FC:202 -546.00 OP  
05 FC:201 294.00 CH 76.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Repln. Ref: 01/31/2002 NPRASASO 0013163300  
Date: 232825 Name/Number: 09852968  
FC: 704 \$294.00 CR

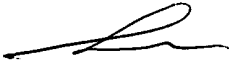
- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1780.
  - \$1260 for 140 total claims over 20.
  - \$520 for 13 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2200.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance with these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE